

Molecular Encapsulation via Metal-to-Ligand Coordination in a Cu(I)-Folded Molecular Basket

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A molecular basket, composed of a semirigid $C_{3\nu}$ symmetric *tris*-norbornadiene framework and three pyridine flaps at the rim, has been shown to coordinate to a Cu(I) cation and thereby fold in a multivalent fashion. The assembly was effective ($K_a = 1.73 \pm 0.08 \times 10^5 \text{ M}^{-1}$) and driven by enthalpy ($\Delta H^\circ =$ $-7.2 \pm 0.1 \text{ kcal/mol}$, $\Delta S^\circ = -0.25 \text{ eu}$). Variable temperature ¹H NMR studies, assisted with 2D COSY and ROESY investigations, revealed the existence of Cu(I)-folded basket **10**_b with a molecule of acetonitrile occupying its interior and coordinated to the metal. Interestingly, **10**_b is in equilibrium with Cu(I)-folded **10**_a, whose inner space is solvated by acetone or chloroform. The incorporation of a molecule of acetonitrile inside **10**_a was found to be driven by enthalpy ($\Delta H^\circ = -3.3 \pm 0.1 \text{ kcal/mol}$), with an apparent loss in entropy ($\Delta S^\circ = -9.4 \pm 0.4 \text{ eu}$); this is congruent with a complete immobilization of acetonitrile and release of a "loosely" encapsulated solvent molecule during **10**_{a/b} interconversion. From an Eyring plot, the activation enthalpy for incorporating acetonitrile into **10**_a was found to be positive ($\Delta H^{\ddagger} = 6.5 \pm 0.5 \text{ kcal/mol}$), while the activation entropy was negative ($\Delta S^{\ddagger} = -20 \pm 2 \text{ eu}$). The results are in agreement with an exchange mechanism whereby acetonitrile "slips" into an "empty" basket through its side aperture. In fact, DFT (BP86) calculations are in favor of such a mechanistic scenario; the calculations suggest that opening of the basket's rim to exchange guests is energetically demanding and therefore less feasible.

Introduction

The modulation of chemical reactivity in biological systems develops via a subtle interplay of molecular recognition, dynamics, and trafficking in line with a synchronized formation and rupture of covalent bonds.¹ Understanding the complexity of biological molecules capable of promoting chemical trans-

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formations and facilitating their mechanism of action presents a great challenge and, at the same time, is a source of inspiration for both experimentalists and theoreticians. In that vein, major advances in the field of chemical catalysis necessitate the incorporation of dynamic elements of design² into artificial systems to resemble molecular machines.³ Yet, a steady development of complex molecular assemblies⁴ and elucidation of their operation⁵ merit a profound consideration for expanding fundamental understanding of the relationship between synchronized molecular motion, encapsulation, dynamics, and reactivity in artificial environments.⁶

Molecular containers,⁷ such as carcerands, calixarenes, selfassembled capsules, and metal-assembled cages, were originally designed to trap a target compound, and in a way these hosts belong to a family of biomimetic compounds.⁸ Housing a guest,

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FIGURE 1. Synthetic routes for the preparation of molecular basket 1 via the earlier developed¹² (bottom) and new method (top). ¹H NMR spectroscopic assignment of 1 and chemical structure of folded $[9 \times PF_6]$.

inside a container, permitted its increased kinetic and thermodynamic stability⁹ and also provided a molecular reaction domain.¹⁰ In recent times, however, there has been a growing interest for elucidating the dynamic behavior of such assemblies, and in particular for scrutinizing the mechanism of guest exchange and its relation to chemical reactivity.^{2,5}

In view of such activities, this study reports on the design and preparation of a copper(I)-folded molecular basket¹¹ with intriguing conformational behavior and operational mechanism for guest incorporation that could allow for manipulating the kinetic stability and position of the guest. The basket belongs to a family of hosts,¹² comprising a semirigid $C_{3\nu}$ symmetrical tris-norbornadiene framework that gives rise to its concave shape (Figure 1). Three aromatic rings, incorporating transition metal chelating sites, are installed at the rim to act as dynamic appendages in controlling the folding and thus forming the basket's "dynamic" interior. As a result, the location and the in/out trafficking of the guest(s) has been speculated to be controlled by a combination of constrictive binding and metalto-ligand coordination. Indeed, the experimental and theoretical studies of these Cu(I)-folded molecular baskets revealed, for the first time, an operational mechanism where metal-to-ligand coordination in combination with the basket's folding behavior dominates the in/out exchange of an encapsulated guest. The assembly exemplifies a crude mimic of a nitrogen-containing metalloenzyme active site that unifies the concepts of molecular confinement and metal-to-ligand directed encapsulation in a dynamic space. This study demonstrates the utility of devising ways for controlling molecular trafficking, and perhaps reactivity, in programmed chemical environments where the reaction effectiveness is manageable to a greater extent.

Results and Discussion

Synthesis. Our originally developed procedure for the preparation of 1^{12} has been considerably improved (Figure 1). A transition metal catalyzed *tris*-annulation of racemic norbornene **2** was reported to give diastereomer 3_{anti} in excess.^{12a} Scarce amounts of the desired *syn* isomer were obtained, necessitating a lengthy procedure for separating the two stereoisomeric compounds. Furthermore, cycloaddition of the reactive dienophile, dimethyl acetylenedicarboxylate (DMAD), to 3_{syn} required high pressure (140 KPsi) and long reaction times for completion, which severely limited our capacity to run the reaction on a

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larger scale and within a reasonable time frame. The newly developed procedure (Figure 1) has been optimized to address these difficulties and should facilitate future studies of molecular baskets.

The cycloaddition of DMAD to **5**, in boiling CCl₄, yielded **6**, after a DDQ aromatization step. Compound **6** was then stannylated to give **7**, in an optimized protocol that required a rapid quenching of the vinyl-carbanion with trimethyltin chloride. The cyclotrimerization of **7** into $\mathbf{4}_{syn}$ was attempted following a number of routes, originally developed by De Lucchi et al.¹³ In particular, we found that the Cu(NO₃)₂ · (H₂O)_{2.5} salt¹⁴ promoted the transformation in acetone (24% yield), with a satisfactory diastereoselectivity (*syn/anti* = 1:1.4). Intriguingly,

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when this reaction is compared to the cyclotrimerization in THF (2% yield), the templating role 12c,15 of acetone in the formation of $\mathbf{4}_{svn}$ can be envisioned; this solvent is, in particular, known to effectively fill the cavity of $\mathbf{4}_{syn}$ in the solid state.^{12b} The mechanistic details for the cyclotrimerizations promoted with Cu(II) have, in fact, been addressed.^{14d} A chain-type cyclization pathway has been discounted in the advantage of the initial Sn-Sn type coupling of the racemic halostannanes (in this case, 7). The observed enantiodiscrimination in the formation of 4_{syn} is consistent with such a mechanistic scenario. The initial Sn-Sn heterocouplings could be kinetically promoted, in the presence of the templating solvent molecules, and over the competing homocouplings to give rise to the syn product. Hexaester 4_{syn} was easily separated from 4_{anti} by column chromatography and subsequently converted to the desired 1, in accord with the already published procedure.12

¹H NMR Spectroscopic Titration. An incremental addition of [Cu(I)(CH₃CN)₄]PF₆ to a solution of 1 (CD₃COCD₃/CDCl₃ = 5:3) prompted considerable changes in its ¹H NMR spectrum (Figure 2A). The signal for the \mathbf{H}_{a} proton shifted upfield ($\Delta \delta_{max}$ = 0.6 ppm), while the resonances corresponding to the $H_{c/d}$ signals moved downfield ($\Delta \delta_{max} = 0.3$ and 0.5 ppm, respectively). Interestingly, the H_b resonance was only slightly perturbed with increasing amounts of Cu(I). All of the spectroscopic changes were persistent until an equimolar (1:1) ratio of Cu(I):1 was reached, and no considerable ¹H NMR shifts were detected when an "excess" of the transition metal was present (Figure 2B). The observed ¹H NMR changes are thus akin to those evidenced in the addition of Ag(OTf) to 1,^{12b} suggesting a comparable mode of metal-to-ligand coordination. However, this time, a small and soft Cu(I) cation mediated the formation of C_3 symmetrical and folded basket [9 × PF₆] (Figure 1), and on average, there is a rapid interconversion between 1 and Cu(I):1 (i.e., $[9 \times PF_6]$) on the NMR time scale (Figure 2A). On the basis of the ¹H NMR titration, the formation of [9 \times PF₆] was indeed effective: the break in the titration curve at the 1:1 host/guest ratio¹⁶ is quite obvious (Figure 2B). A nonlinear least-squares analysis of the titration data, furthermore, suggested that the association constant is greater than 10^5 M^{-1} (Figure 2B).

Interestingly, the ¹H NMR line shapes of the resonances for **1** appeared considerably broadened before an equimolar amount of the transition metal was added to the solution (Figure 2A). The signals, however, sharpened at and after the equimolar point. An exchange process between **1** and [**9** × PF₆], was presumably occurring at an intermediate rate. Indeed, a variable temperature ¹H NMR study of **1**, containing 0.5 mol equiv. of [Cu(I)-(CH₃CN)₄]PF₆, revealed decoalescence of the signals at 240 K.¹⁸ Two sets of resonances appeared: one corresponding to the Cu(I) assembled basket and another one to free **1** in a fast equilibrium with its coordinated form.¹⁸

Spherically symmetrical and diamagnetic Cu(I) of the electron configuration d^{10} is known to readily oxidize into paramagnetic

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FIGURE 2. (A) A series of ¹H NMR spectra (400 MHz, 300 K; CD₃COCD₃/CDCl₃ = 5:3) of **1** (2.60 mM), recorded after a gradual addition of 53.0 mM of standard solution of [Cu(I)(CH₃CN)₄]PF₆, such that the final mixture comprises (a) 0, (b) 0.17, (c) 0.34, (d) 0.51, (e) 0.68, (f) 0.85, (g) 1.02, (h) 1.19, (i) 1.36, (j) 1.53, (k) 1.70, (l) 1.87, (m) 2.04, (n) 2.21, (o) 2.38, (p) 2.55, (q) 2.72, (r) 2.89, and (s) 3.06 molar equiv of Cu(I). (B) The nonlinear curve fitting of the ¹H NMR chemical shifts of the $H_a/H_c/H_d$ resonances to a 1:1 equilibrium model.^{16,17}



FIGURE 3. Low-resolution MALDI (TOF) mass spectrum of an equimolar mixture of **1** and $[Cu(I)(CH_3CN)_4]PF_6$ (2.60 mM), with a major signal at m/z = 963.14 amu, corresponding to the [1:Cu]⁺ cation. In the inset, the isotopic distribution is shown.

Cu(II) that, in turn, causes broadening of ¹H NMR signals.¹⁹ For that reason, our titration experiments were conducted under a nitrogen atmosphere, as traces of oxygen were noted to cause the rapid oxidation of the chelated metal and the loss of ¹H NMR signals.

Mass Spectrometric Analysis. Matrix-assisted laser desorption/ionization (MALDI) mass spectrometry comprises a soft ionization method for generating ions, thereby allowing a nondestructive vaporization and ionization of large molecular weight compounds.²⁰ The characterization of fragile noncovalent assemblies has, in particular, been proven feasible with the method,²¹ and we conducted MALDI-TOF (time-of-flight) experiments to ascertain the nature of our Cu(I)-mediated assembly(ies) (Figure 3). On the basis of the exclusive appearance of a peak at 963.14 amu (Figure 3), corresponding to the [1:Cu]⁺ cation, the affinity of Cu(I) toward polydentate **1** was verified to be high. The observed signal, despite suggesting a 1:1



FIGURE 4. Isothermal titration calorimetry data for the gradual addition of a 1.00 mM standard solution of $[Cu(I)(CH_3CN)_4]PF_6$ to a 0.10 mM solution of 1 (CH₃CN), under an atmosphere of nitrogen. Computer simulated curve fitting (below) afforded the thermodynamic parameters for the assembly of $[9 \times PF_6]$.

stoichiometry of the metal coordinated to the basket, reveals the absence of any apical ligand(s) or an encapsulated molecule in the cavity of $[1:Cu]^+$. The assembly in the gas phase, evidently, incorporates a trigonal Cu(I) with no preference for coordinating a labile monodentate acetonitrile. Moreover, adding a better σ electron donor, such as imidazole, to the assembly, did not reveal any new signals in MALDI,¹⁸ yet again confirming the identity and stability of the gas-phase dominating $[1:Cu]^+$ cation.

Isothermal Titration Calorimetry (ITC). The thermodynamic profile for the formation of $[9 \times PF_6]$ (Figure 1) was further examined with isothermal titration calorimetry (ITC, Figure 4).

A stock solution of [Cu(CH₃CN)₄]PF₆ (1.00 mM, CH₃CN) was added in portions to a solution of **1** (0.10 mM, CH₃CN) contained in the sample cell. The required anaerobic atmosphere, for preventing highly exothermic Cu(I) oxidation, was secured by completing the experiment in an Atmos-Bag filled with nitrogen at a positive pressure. The heat released in the assembly ($\Delta H^{\circ} = -7.2 \pm 0.1$ kcal/mol) gave the binding isotherm (Figure 4), which upon fitting to a one-site model revealed $K_{\rm a} = (1.73 \pm 0.08) \times 10^5$ M⁻¹ ($\Delta G^{\circ} = -7.2$ kcal/mol, 300 K) and N =

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FIGURE 5. Variable temperature ¹H NMR spectra (400 MHz, $CD_3COCD_3/CDCl_3 = 5:3$) of a solution of equimolar 1 and $[Cu(I)(CH_3CN)_4]PF_6$ (3.20 mM).

0.76 (stoichiometric balance) as independently fit parameters. The $K_{\rm a}$ value measured for the binding affinity is in excellent agreement with the one obtained in the ¹H NMR titration (Figure 2B). Interestingly, the assembly is strongly enthalpically driven, which is contrary to what was observed in folding of the same molecular basket with the Ag(I) cation ($\Delta H^{\circ} = 3.0 \pm 0.1$ kcal/ mol).^{12b} The apparent gain in the enthalpy can, to a first approximation, be related to the substitution of labile acetonitrile ligands with pyridines in the assembly process. The apparent entropic balance ($\Delta S^{\circ} = -0.25$ eu), though, was likely developed via combination of (a) differential solvation in the course of the complexation and (b) displacement of acetonitrile molecules in $[Cu(I)(CH_3CN)_4]PF_6$ with pyridines of 1, operating by way of the chelate effect.^{12,22} Respectively, our polydentate basket coordinates to Cu(I) with a much higher affinity (log β = 5.23) than three monodentate pyridine ligands in acetonitrile $(\log \beta_3 = 3.26).^{22e,f}$

Variable Temperature ¹H NMR Studies. Variable temperature ¹H NMR spectra of a solution (CD₃COCD₃/CDCl₃ = 5:3) of equimolar 1 and [Cu(I)(CH₃CN)₄]PF₆ (3.20 mM) revealed decoalescence of signals at 248.1 K (Figure 5). Two sets of peaks developed, with the ratio changing with external temperature. Each group of signals has been assigned to a C_3 symmetrical basket, 10_a and 10_b (Figure 6A), as reasoned below.

The appearance of an upfield singlet (-1.7 ppm, Figure 5) suggests that there is a guest residing inside the basket. Specifically, the inner space of 1 provides four benzene rings to surround an encapsulated compound, and thereby impose diamagnetic anisotropy on the protons situated in the shielding region.

Given its size, shape, and coordinating properties, acetonitrile can be envisioned to occupy the interior of this folded basket. In fact, solvent molecules are likely to compete for filling the inner space, but these solvent molecules are fully deuterated and therefore undetectable by ¹H NMR spectroscopy. A closer inspection of the two sets of ¹H NMR signals (Figure 5), revealed that the intensity of one set of signals does track with the upfield singlet. Namely, the integration of this set, assigned as 10_b , would consistently fit the upfield resonance to three protons, and therefore, is consistent with a CH₃ group in acetonitrile as the guest. Finally, when deuterated acetonitrile was used as the solvent, ¹H NMR measurements at low temperatures showed no upfield signal at -1.7 ppm, verifying the role of acetonitrile as the guest in 10_b .

2D COSY and ROESY ¹**H NMR Studies.** To fully assign the proton spin systems at low temperature (Figure 5), we conducted a series of 2D ¹H NMR experiments (190 K). Twodimensional homonuclear (H, H) correlated (COSY) spectrum of a solution containing 2.60 mM **1** and 2.60 mM [Cu(I)-(CH₃CN)₄]PF₆ (CD₃COCD₃/CDCl₃ = 5:3) revealed six distinct cross-peaks (Figure 6B). The peaks have been assigned to (a) H_c proton in **10**_a and **10**_b, each coupled to the corresponding H_b and H_d protons contained by the pyridine flaps, and (b) two H_{i/j} protons, each coupled to its own bridgehead H_h proton (Figure 6A/B).

The characterization was further completed with ROESY experiments (Figure 6C), whereby the magnetization transfer through space was unsurprisingly observed for the juxtaposed \mathbf{H}_{h} and $\mathbf{H}_{i/j}$ protons in both $\mathbf{10}_{a}$ and $\mathbf{10}_{b}$ and also for \mathbf{H}_{h} and \mathbf{H}_{g} in $\mathbf{10}_{b}$. More interestingly, a cross-peak corresponding to $\mathbf{H}_{e/f}$, \mathbf{H}_{d} coupled nuclei was revealed in $\mathbf{10}_{b}$. Accordingly, the three protons must be arranged in space close enough to show the cross-correlation. The fact that the same cross peak was not observed in $\mathbf{10}_{a}$ suggests a distinctively different geometry for this stereoisomer, which could be of a more dynamic nature having no acetonitrile residing in its interior.

The observed chemical shifts for the protons in assemblies 10_a and 10_b (Figure 5B) provided additional information about the two structures in the equilibrium (Figure 6A). In particular, the H_a resonance in 10_b exemplifies a considerable upper-field shift, $\Delta \delta = 0.40$ ppm, with respect to the same proton in 10_a . Presumably, C_3 symmetrical 10_b incorporates three pyridine flaps more inclined to the basket base with each flap placing its H_a proton inside the cavity and against the shielding region of the encapsulated acetonitrile. With this geometry, the H_d proton must assume a position in between the "hinge" H_{eff} protons whereby the magnetization transfer through space is facilitated (Figure 6C). In contrast, the downfield shifted H_a proton in 10_a

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FIGURE 6. (A) ¹H NMR spectroscopic assignment of Cu(I)-folded molecular baskets 10_a (blue) and 10_b (red). (B) Selected regions of twodimensional ¹H-¹H COSY spectrum (400 MHz, CD₃COCD₃/CDCl₃ = 5:3) of a solution of $10_{a/b}$ (2.60 mM) at 190 K. (C) Selected regions of two-dimensional ¹H-¹H ROESY spectrum (400 MHz, CDCl₃/CDCl₃ = 3:5, 100 ms mixing time) of a solution of $10_{a/b}$ (2.60 mM) at 190 K.



FIGURE 7. (A) Selected regions of ¹H NMR spectra (400 MHz, CD₃COCD₃/CDCl₃ = 5:3) of a solution of (a) [Cu(I)(CH₃CN)₄]PF₆ (3.20 mM), (b) CH₃CN (12.8 mM), and (c) $\mathbf{10}_{a/b}$ (3.20 mM) at 300 K. (B) ¹H NMR Chemical shifts for the methyl group in CH₃CN (400 MHz, CD₃COCD₃/CDCl₃ = 5:3) as a function of temperature: (**■**) a solution of acetonitrile (12.8 mM), and (**▲**) a solution of $\mathbf{10}_{a/b}$ (3.20 mM).

must be more remotely positioned with respect to the basket's interior, placing the corresponding \mathbf{H}_d further away from the $\mathbf{H}_{e/f}$ protons, to minimize the magnetization transfer (Figure 6C). The structure of $\mathbf{10}_a$ is likely to resemble the earlier reported Ag(I)-folded molecular basket^{12b} for which the ¹H NMR signals, and in particular \mathbf{H}_a , were observed at similar positions.

Apical Ligand(s). On the basis of the variable temperature and two-dimensional ¹H NMR experiments, it is evident that 10_b features a tetracoordinate Cu(I) with a molecule of acetonitrile occupying its inner space (Figure 6A). Conversely, the ¹H NMR evidence was in favor of a solvent-filled 10_a comprising a trigonal Cu(I) center coordinated to three pyridine flaps (Figure 7). That is to say, the transition metal lacks a molecule of acetonitrile as the labile fourth ligand, which in this situation would have been located on the outer side. The CH₃ protons of acetonitrile in [Cu(I)(CH₃CN)₄]PF₆ and completely metal-free acetonitrile resonate at 2.16 and 2.01 ppm (300 K), respectively (Figure 7A). ¹H NMR spectrum of **10**_a/**10**_b (300 K) revealed a broad methyl signal at 1.98 ppm (Figure 7A), indicating an intermediate interconversion rate between free and somewhat encapsulated acetonitrile.



FIGURE 8. Energy minimized (DFT, BP86) conformations of 10_a and 10_b . Synchronized rotation of the pyridine flaps about their N-C-C-C dihedral angle (α) was calculated to require 4.52 and 1.65 kcal/mol in 10_a and 10_b , respectively.

In fact, when the signal contribution of the encapsulated acetonitrile was "taken away" (below 248 K; this molecule undergoes a slow in/out exchange on the ¹H NMR time scale), the remaining acetonitrile ¹H NMR signal became identical to that of the free acetonitrile (Figure 7B). The observation validates the equilibrium presented in Figure 6A, whereby the $10_{a/b}$ conversion necessitates a molecule of acetonitrile to displace the solvent-filled (or empty) cavity in 10_{a} .¹⁸ Indeed, the addition of neat acetonitrile to a solution of $10_{a/b}$ shifted the equilibrium toward the greater formation of 10_{b} , ¹⁸ which is in accord with Le Chatelier's principle.

Density Functional Theory (DFT) Studies. The equilibrium between the two baskets $10_{a/b}$ (Figure 6A) was further investigated computationally with DFT methods and the BP86 functional²³ in order to disclose the mechanistic details for the interconversion. Energy minimizations were initiated, each with a 10_a or 10_b starting geometry and a particular N-C-C-C torsional angle ($\alpha = 13-80^\circ$, Figure 8). It was found that the initial selection of the torsional angle would always give rise to one particular geometry (Figure 8).

The calculated results revealed that C_3 symmetric $\mathbf{10}_b$ (which contains acetonitrile as a guest) is more stable than the empty $\mathbf{10}_a$ and an isolated acetonitrile by -11.0 kcal/mol (ΔE). Both $\mathbf{10}_a$ and $\mathbf{10}_b$ are helically twisted, and the empty or full basket can be arranged in an M or P orientation for the gearing of the aromatic units. The pyridine flaps in $\mathbf{10}_b$ are tilted relative to the base ($\alpha = 48.2^{\circ}$) and with the nitrogen atoms coordinated

to Cu(I). Furthermore, the Cu(I) also holds a molecule of acetonitrile toward the base of the basket, thereby pointing the hydrogens of the acetonitrile toward the basket's aromatic walls. In comparison, the trigonal (and empty) assembly 10_a was computed to also contain pyridine moieties arranged in the helical fashion, yet more tilted to the base ($\alpha = 64.2^\circ$, Figure 8). Evidently, the presence of the internal acetonitrile guest in 10_b demands a relocation of the Cu(I) cation down into the cavity of the basket, along the assembly's *z* axis, which is synchronized with a gradual twist of the pyridine gates ($\Delta \alpha = 16^\circ$). The calculated thermodynamic bias of -11 kcal/mol is in favor of the formation of 10_b , relative to an empty basket 10_a .

Furthermore, one can envision that the acetonitrile guest replaces a coordinated solvent (e.g., acetone) molecule on the outside of the basket,¹⁸ and in that case, the calculated thermodynamic (ΔE) bias is -3.5 kcal/mol, once again in favor of acetonitrile being inside the cavity ($\mathbf{10}_{b}$). This latter value is in good accord with experiment ($\Delta H^{\circ} = -3.3$ kcal/mol, see below). Interestingly, the synchronized rotation of the pyridine flaps about their axis, in $\mathbf{10}_{a}$ and $\mathbf{10}_{b}$, allows for each of the assemblies to flutter between two enantiomeric, right-handed *P* and left-handed *M*, helical forms (Figure 8). The interconversion comes with a small energetic penalty of 4.52 and 1.65 kcal/mol for $\mathbf{10}_{a}$ and $\mathbf{10}_{b}$, respectively (BP86, Figure 8). The calculated activation barriers are thus in line with the observed appearance of only one signal for the diastereotopic \mathbf{H}_{elf} protons in variable temperature ¹H NMR experiments (Figure 5).

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FIGURE 9. (A) Van't Hoff plot and (B) the thermodynamic parameters for the $10_{a/b}$ interconversion. (C) Eyring plot and (D) the activation parameters for the $10_{a/b}$ interconversion.

Thermodynamics and Kinetics of the Exchange. With solid experimental evidence for the interconversion of folded molecular baskets 10_a and 10_b , we further examined the system's dynamics and thermodynamics. From variable temperature ¹H NMR experiments (Figure 5), integration of the signals at each temperature afforded equilibrium association constants (K_a). Once the data were integrated in a van't Hoff plot, the thermodynamic parameters for the conversion of 10_a into 10_b became available (Figure 9A and B).

In essence, the incorporation of an acetonitrile molecule inside the basket is strongly driven by enthalpy ($\Delta H^{\circ} = -3.3 \pm 0.1$ kcal/mol). Such apparent gain in enthalpy can, for the most part, be viewed as a result of the formation of an additional metalto-ligand coordination bond taking place in the encapsulation event. The weak noncovalent forces, the basket's strain, and solvation effects all contribute to the net exothermicity, but presumably to a smaller degree. The observed loss in entropy ($\Delta S^{\circ} = -9.4 \pm 0.4$ eu, Figure 9A and C), is congruent with the complete immobilization of a molecule of acetonitrile and the release of a "loosely" encapsulated solvent molecule during the formation of 10_b.

The interconversion of $10_a/10_b$, in addition, gave rise to the exchange of NMR resonances of proton nuclei experiencing different chemical environments. Hence, we used a total band-shape analysis to obtain the apparent rate constants k_1/k_{-1} (Figure $10)^{24}$ and, from an Eyring plot, the activation parameters for the dynamic $10_a/10_b$ equilibrium (Figure 9C and D). The resonance for H_b in $10_a/10_b$ was observed as one signal at high temperatures (Figure 10). At lower temperatures, however, this



FIGURE 10. Experimental and simulated (Maple 11) signals for the \mathbf{H}_{b} protons in $\mathbf{10}_{b}$ and $\mathbf{10}_{a}$, respectively. Apparent second- and first-order (k_{1} and k_{-1}) rate constants for the $\mathbf{10}_{a/b}$ interconversion at different temperatures.

resonance decoalesced into two signals whose intensity ratio and line shape were a function of temperature; the downfield signal was observed as a doublet, while the upfield signal was a broad peak, presumably a doublet. Thus, two unequally populated and coupled spin states, originating from the H_b nucleus, were generated from the same nucleus residing in two magnetically different environments, provided by 10_a and 10_b . To develop an appropriate kinetic density matrix¹⁸ and solve

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FIGURE 11. Gated- (A) and slippage-based (B) mechanisms for the $10_{a/b}$ interconversion have been proposed, and further assessed by DFT (BP86) calculations.

for the equilibrium kinetics, a dissociative mechanism was hypothesized to operate in acetonitrile departing from the basket interior. The effective chemical exchange rate constants, which are directly relevant to the NMR experiments, were given as: $k_{\rm f} = k_1 \cdot [\text{acetonitrile}]$ and $k_{\rm b} = k_{-1}$. From the temperature dependence of the H_b resonance's line shape (Figure 10), the fitting procedure gave the apparent rate constants (k_1 and k_{-1}) for the equilibrium at different temperatures. From the Eyring plot (Figure 9B), the activation enthalpy for incorporating acetonitrile into 10_a was found to be positive ($\Delta H^{\ddagger} = 6.5 \pm$ 0.5 kcal/mol), while the activation entropy was negative (ΔS^{\ddagger} $= -20 \pm 2$ eu). Evidently, the transition state for the encapsulation is more ordered with respect to the ground state $(\Delta S^{\dagger} < 0)$, which is in agreement with a mechanism whereby a molecule of acetonitrile combines with an "empty" basket in the reaction's rate-determining step (Figure 8).

Encapsulation Mechanism. What is the guest exchange mechanism for these Cu(I)-folded molecular baskets? One can envision a scenario (Figure 11A) for which the empty basket 10_a binds a molecule of acetonitrile on its outer side. Subsequently, a pyridine flap dissociates from the transition metal to facilitate the coordinated acetonitrile to turn inside the basket. Although feasible, both experiment and theory are less in favor of such a mechanistic pathway. First, the experimental evidence for the outer coordination of acetonitrile is lacking. Second, the thermodynamic energy difference for cleaving a pyridine unit coordinated to Cu(I) and internalization of the CH₃CN guest requires at least 10.3 kcal/mol.

On the contrary, the experimental activation energy (ΔH^{\ddagger}) is 6.5 kcal/mol for the conversion of 10_a into 10_b . Furthermore, gas-phase collision-induced dissociation experiments by Rodgers and co-workers has revealed that the bond dissociation energy (ΔH° , 0K) for the removal of a pyridine unit from the Cu⁺(pyridine)₃ complex to be on the order of 20 kcal/mol.²⁵ Our DFT calculations suggested that over 10.3 kcal/mol (ΔE) is required for such a rate-determining step to take place (Figure 11A).

A more feasible mechanism (Figure 11B), however, encloses an in/out exchange of acetonitrile through the aperture in $10_{a/b}$ that, in fact, requires for the basket to expand slightly for the guest to enter or to leave the cavity. To evaluate the elasticity of the host,²⁶ we examined (BP86) the passage of acetonitrile from the center of the cavity and along a reaction trajectory defined by the (basket-center)…<u>C</u>H₃CN or (basket-center)… CH₃C<u>N</u> distance.¹⁸ The guest escape (entrance) through the host "skin" was revealed to be rather facile; it takes roughly 5 kcal/ mol to drive the methyl group through the host aperture, without a considerable coordination-bond rupture to Cu(I), Figure 11B. In view of that, we deduce that the second mechanism is more likely to be operating for the guest exchange. Importantly, by varying the host structure, as well as the guest's electronic properties, size and shape, the notion of manipulating the exchange pathway to direct molecular transport in artificial environments is becoming more feasible.

Conclusions

Transition-metal-mediated folding of molecular baskets proves to be a practical strategy for creating dynamic inner space suitable for studying molecular transport in synthetic systems. Diamagnetic and soft Cu(I) has, in this work, been demonstrated to effectively chelate the pyridine "flaps", positioned at the rim of the basket, and fold them in a helical fashion. The coordination led to a complete constriction of a chiral and dynamic space inside the molecular container. The transition metal was revealed to coordinate to a molecule of acetonitrile in the cavity of the basket. This, in turn, restricted the mobility of the guest, which points its methyl group against the aromatic walls of the host, and was clearly discernible by ¹H NMR spectroscopy. Importantly, the immobilized guest was in equilibrium with "free" acetonitrile in bulk solution in an exchange process occurring via a slight expansion of the host aperture; a gated transport mediated by opening and closing of the

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"flaps" was presented as a less likely mechanistic scenario. It is the manipulation of the guest's encapsulation via metal-to ligand coordination that holds a promise for directing chemical reactivity in dynamic and confined media.

Experimental Section

Compound 6. A carbon tetrachloride (150 mL) solution of 5 (5.37 g, 27.2 mmol) and freshly distilled dimethyl acetylenedicarboxylate (5.32 g, 37.4 mmol) was allowed to stir at reflux for 12 h. The solvent was removed at a reduced pressure to yield an amber oil (9.24 g). The oil was dissolved in dichloromethane (200 mL) and solid 2,3-dichloro-5,6-dicyano-p-benzoquinone (7.10 g, 31.3 mmol) was added. The mixture was mechanically stirred under argon (12 h, rt), before it was "washed" with a saturated aqueous NaHCO₃ solution (3×200 mL) and dried (MgSO₄), and the organic layer was concentrated in vacuo. The solid residue was purified by column chromatography (SiO₂, CH₂Cl₂) to yield 6 (6.54 g, 71%) as a colorless oil. ¹H NMR (400 MHz, CDCl₃, 298 K): δ 2.35 (1H, d, J = 7.6 Hz), 2.63 (¹H, d, J = 8.0 Hz), 3.85 (1H, s), 3.88 (6H, s), 4.00 (1H, s), 6.73 (1H, d, J = 3.2 Hz), 7.51 (1H, s), and 7.65 ppm (1H, s); ¹³C NMR (100 MHz, CDCl₃, 298 K): δ 51.7, 52.6, 52.7, 58.2, 68.8, 121.5, 122.1, 128.9, 130.1, 135.8, 139.8, 153.3, 153.9, 168.1, and 168.4 ppm; HRMS (EI): *m*/*z* calcd for C₁₅H₁₄BrO₄ 337.0075 [M + H]⁺; found 337.0061.

Compound 7. To a solution of dry diisopropylamine (830 μ L, 5.90 mmol) in THF (20 mL) at -78 °C was added n-butyl lithium (1.6 M in hexanes, 3.60 mL, 5.76 mmol), and the mixture was stirred for 40 min under an atmosphere of argon. A solution of 6 (1.50 g, 4.45 mmol) in THF (10 mL) was then added dropwise over a period of 10 min, and the resulting mixture was stirred for an additional 30 min. Following, a solution of trimethyltin chloride (937 mg, 4.76 mmol) in THF (10 mL) was added dropwise over a period of 10 min, and the resulting mixture was gradually warmed to room temperature over a period of 3 h. Aqueous NH₄Cl (4 mL) was used to quench the base, followed with the removal of the organic layer in vacuo. The solid residue was washed with water (60 mL) and extracted with diethyl ether (2 \times 70 mL). The combined organic phase was dried (MgSO₄) and concentrated in vacuo. The solid residue was purified by column chromatography $(SiO_2, hexanes/ethyl acetate, 2:1)$ to yield 7 as a white solid (1.59) g, 72%). Mp 139–140 °C; ¹H NMR (400 MHz, CDCl3, 27 °C): δ 0.24 (9H, t, J = 40 Hz), 2.27 (1H, d, J = 7.6 Hz), 2.57 (1H, d, J= 7.6 Hz), 3.91 (7H, s), 4.09 (1H, s), 7.47 (1H, s), and 7.65 ppm (1H, s); ¹³C NMR (100 MHz, CDCl₃, 27 °C): δ -9.3, 52.5, 52.6, 57.0, 60.2, 68.4, 121.1, 122.0, 129.0, 129.8, 147.0, 152.2, 153.6, 154.0, 168.4, and 168.5 ppm; HRMS (EI): m/z calcd for $C_{16}H_{16}BrO_4Sn 500.9723 [M + H]^+$; found 500.9687.

Compound 4_{syn}.^{12a} A mixture of 7 (1.09 g, 2.18 mmol) and Cu(NO₃)₂·2.5H₂O (2.53 g, 10.9 mmol) in acetone (53 mL) was stirred at 50 °C overnight. The solvent was removed in vacuo and the remaining residue was washed with a 10% aqueous NH₃ solution (60 mL) and extracted with diethyl ether (3 × 100 mL). The combined phase was dried (MgSO₄) and concentrated in vacuo. The solid residue was purified by column chromatography (SiO₂, dichloromethane/acetone, 9:1) to yield 4_{syn} (75.8 mg, 13.6%) as a white solid. Mp 215 °C; ¹H NMR (400 MHz, CDCl₃, 27 °C): δ 2.54 (6H, s), 3.80 (18H, S), 4.43 (6H, s), and 7.45 ppm (6H, s); ¹³C NMR (100 MHz, CDCl₃, 27 °C): δ 48.8, 52.3, 65.4, 121.6, 129.8, 137.8, 152.8, and 168.1 ppm. HRMS (ESI): *m*/z calcd for C₄₅H₃₆O₁₂Na 791.2099 [M + Na]⁺; found 791.2098.

Dynamic ¹**H NMR Studies.** Compounds 10_a and 10_b showed a proton resonance H_b at 8.50 and 8.63 ppm, respectively. The resonances consisted of a 1:1 doublet due to scalar coupling to a



single neighboring proton H_c , J = 4.6 Hz. With increasing temperature, above 230.1 K, the two doublets progressively average to a single doublet (Figure 5). This is clearly the result of a fast equilibrium exchange process whose rate lies on the NMR time scale. We assumed that the spin state of the proton neighbor does not change during the exchange process.

Thus, we simulated the system as consisting of two separately averaging unequal doublets as indicated in the given diagram. Each peak was treated as being due to a pseudo half-spin transition. The four transitions are labeled from left to right as b, b' (10_b) and a, a' (10_a), with frequencies ω_{b} , $\omega_{b'}$, ω_{a} , $\omega_{a'}$ (radians \cdot s⁻¹) and intrinsic line width 1/T (s⁻¹). The pseudo-first-order rate constants are $k^{1}_{b \rightarrow a}$, $k^{1}_{b' \rightarrow a'}$, $k^{1}_{a' \rightarrow b'}$, and $k^{1}_{a' \rightarrow b'}$. Note that the first pair is identical, as is the last pair. Required elements of the density matrix are ρ_{b} , $\rho_{b'}$, ρ_{a} , and $\rho_{a'}$. The four first-order coupled inhomogeneous density matrix equations used in the fitting of the experimental spectra are displayed (eq 1).

$i(\omega - \omega_b) - (1 / T) - k^1_{b \rightarrow a}$ $k^1_{a \rightarrow b}$	$k^1 b \rightarrow a$ i($\omega - \omega_a$) - (1/T) - $k^1 + a$	0	0 0	Po Bi		
0	0	$\mathbf{i}(\omega-\omega_b)-(1/T)-\mathbf{k}^1{}_{b'\rightarrow a'}$	k ¹ ,	ρ	= i	1
0	0	k¹ _{a'→b'}	$i(\omega - \omega_a) - (1 / T) - k^1_{a' \rightarrow b'}$	ρ,		1

Equation 1 is solved for the four elements of the density matrix as a function of frequency. The absorption is obtained from the imaginary part of the appropriated weighted sum of the latter ρ values (eq 2), where the (a), (a'), (b), and (b') are concentrations. Note that (a) = (a'), (b) = (b'), $k^1_{b\to a} = k^1_{b'\to a'}$, and $k^1_{a\to b} = k^1_{a'\to b'}$ and all that is needed for the concentration terms is the ratio (a)/ (b). Comparison of the observed and calculated line shapes provided the pseudo-first-order rate constants. Their relationship with the proposed interconversion (Figure 6A) was resolved with the assistance of the eqs 3–6.

$$Abs = -Im[(a)\rho a + (a')\rho a' + (b)\rho b + (b')\rho b']$$
(2)

$$k'_{a \to b} = k_{\rm f}(\rm CH_3CN) \tag{3}$$

$$k'_{\mathbf{h}\to\mathbf{a}} = k_{\mathbf{r}} \tag{4}$$

$$k_{\rm f}/k_{\rm r} = (b)/[(a)(CH_3CN)] = K$$
 (5)

$$k'_{a \to b} / k'_{b \to a} = K(CH_3 CN) \tag{6}$$

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Supporting Information Available: Additional experimental, computational methods and results, and spectra for all new compounds. This material is available free of charge via the Internet at http://pubs.acs.org.

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